

Issues:					
Other issues not identified?	If yes, what?				
Further information needed?	If yes, what?				
		Level of Concern/Risk			
Clinical/Practice Issues			Low	Mod.	High
Potential impact on client care Does the RD's practice pose a ris	/ safety / adverse outcome sk to clients? Was a client harmed or potential for harm?				
Clinical knowledge and skill Are the RD's knowledge and skil	Is in that practice area current? Are there gaps in knowledge?				
Professional judgment / practis Is the RD demonstrating good ju	ing outside of scope dgment? Are care and recommendations within the RD scope?				
Record keeping Is the RD's charting accurate, cle	ear, and timely? Can colleagues rely on that charting?				
Client-centered / professional o Is the RD communicating profes	communication sionally and respectfully with clients, colleagues, the public?				
Discontinuing services / failing Did the RD appropriately manag	to provide care e referrals, follow-up care, and client discharges?				
	ients not in the circle of care or for non-nutrition related losed unnecessarily or inappropriately?				
Systemic workplace issues / issues the RD advocated for chang	ues outside registrant's control es to ensure they can meet their professional obligations?				
Business practices / advertising Are the RD's marketing and billing	/ billing ng practices ethical and aligned with the standards?				
Boundary violations / sexual an Has the RD's actions blurred proclient-initiated boundary crossin	ofessional boundaries? Has the RD appropriately managed				
Other Factors		None	Low	Mod.	High
Dishonesty / breach of trust / f Is the RD acting dishonestly or o	inancially motivated conduct out of self interest? Is the alleged conduct reflective of fraud?				
Pattern of behaviour Is the reported conduct a one-ti	me occurrence, or reflective of how the RD practises generally?				
Potential impact on public trust Has the public's trust in the prof	t and confidence Fession been impacted or would it if the conduct were known?				
Lack of insight / accountability Has the RD shown insight into the	by the registrant ne concerns and accountability for their practice?				
No demonstration of proactive Has the RD engaged in remediate	remediation or willingness to improve tion on their own?				
Relevant prior history Does the RD have a prior history	with the College?				
	bllege / compliance with requirements he investigation? Has the RD met all registration requirements?				
Other mitigating / aggravating the Are there other factors to consider					



College of Dietitians of Ontario RISK DEFINITIONS & OUTCOMES

Risk Category	Description	Related Outcome	
No or Minimal Risk	 Information does not support regulatory action or substantiate concerns Concerns are not in the College's jurisdiction 	No further action	
Low Risk	 Unlikely to have a direct impact on client care, safety, or the public interest Concerns reflect practice improvement opportunity best addressed through advice or recommendations 	Written reminder	
Moderate Risk	 Clinical and competency issues requiring improvement through education and remediation Concerns about a registrant's conduct or practice that may have direct impact on client care, safety, or the public interest 	SCERP Caution Undertaking Public outcomes	
High Risk	 Clinical and competency issues that require restrictions or conditions on practice, or withdrawal from the profession Serious concerns regarding the registrant's conduct or practice that are likely to have a direct impact on client care, safety, or the public interest Concerns cannot be addressed through other remedial approaches, or the registrant has a relevant prior history 	Undertaking Interim Order Referral to Discipline Public outcomes	
Incapacity	 Information suggests registrant has an underlying health condition that is affecting their ability to practise safely If concerns arise during an investigation, first step is referral to another ICRC panel for incapacity inquiry 	Undertaking Referral to Fitness to Practise Public outcomes	

Outcome	Description	
No further action	If file closed with no investigation, registrant receives a letter outlining that decision. If no further action taken after investigation, decision can still include a gentle reminder about the College's expectations if needed.	
Written reminder	Decision includes a separate communication to the registrant outlining the College's expectations and providing advice and recommendations for how the registrant can improve their practice.	
SCERP Public outcome	Decision identifies a learning outcome the registrant must achieve and specifies an educational or remedial course of action the registrant must successfully complete to the Registrar's satisfaction. SCERPs can include a course of self-study and a reflective paper, a specified education course or program, and/or a period of mentorship or practice monitoring. Any related expenses are covered by the registrant.	
Caution Public outcome	Registrant must attend in-person (or virtually) to be cautioned by a panel. The caution is educational and remedial in nature, outlining the ICRC's concerns and expectations of the registrant moving forward, including any advice about how to improve their practice. A caution can be used alone or in combination with another outcome, such as a SCERP.	
Undertaking Public outcome	Voluntary agreement reached between the registrant and the College to address identified risks when mitigating factors suggest a voluntary approach is appropriate. For moderate risk cases, registrants can undertake to complete further education or engage in a course of treatment and monitoring for incapacity related concerns. For higher risk cases, registrants can undertake to practise only under restrictions or conditions, or permanently resign from the profession.	
Interim Order Public outcome	Where a registrant's practice or conduct exposes or is likely to expose clients to harm or injury, the ICRC can impose interim restrictions on the registrant's practice while an investigation is ongoing.	
Referral to Discipline Public outcome	Specified allegations of professional misconduct, drafted by legal counsel, are referred for a formal public hearing before the Discipline Committee. The Discipline Committee can impose penalties outside the scope of the ICRC.	